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PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Attorney Docket No: Q61858

Keiichi YAMAUCHI

Appln. No.: 09/715,009

Group Art Unit: 2627

Confirmation No.: 7924

Examiner: Kim Kwok CHU

Filed: November 20, 2000

For: APPARATUS FOR AND METHOD OF RECORDING AND REPRODUCING  
INFORMATION

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after receipt of a Final Office Action, but before payment of the Issue Fee, and therefore Applicant is submitting herewith a

check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under 37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits English language abstracts with copies of Communications from the Japanese Patent Office in counterpart applications citing such documents.

Further regarding the concise statement of relevance, Applicant notes that for Japanese Patent No. 2626105, an English language abstract of the corresponding Japanese Published Application (JP 3-189984). Also, for Japanese Patent Publication No. 7-31874, an English language abstract of the corresponding Japanese publication 63-282965 is submitted.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

**INFORMATION DISCLOSURE STATEMENT**  
**U.S. Appl. No.: 09/715,009**

**Group Art Unit 2627**  
**Attorney Docket Q61858**  
**Confirmation No. Q7924**

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



Brian K. Shelton  
Registration No. 50,245

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Telephone: (202) 293-7060  
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WASHINGTON OFFICE

**23373**

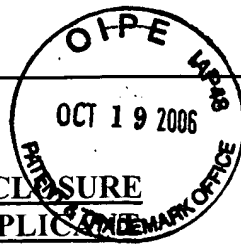
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Date: **October 19, 2006**

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

*Complete if Known*

Application Number	09/715,009
Confirmation Number	7924
Filing Date	November 20, 2000
First Named Inventor	Keiichi YAMAUCHI
Art Unit	2627
Examiner Name	Kim Kwok CHU
Attorney Docket Number	Q61858

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	07-006567		1/10/1995	Sony Corp.	English Abstract
		JP	2626105		08/19/1991	Yamaha Corp.	English Abstract
		JP	05-235698		09/10/1993	Yamaha Corp.	English Abstract
		JP	07-31875		11/18/1988	Hitachi Ltd.; Hitachi Video Eng. Co. Ltd.	English Abstract
		JP	11-203849		07/30/1999	Victor Co. of Japan Ltd.	English Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed  
concurrently herewith was first cited in communications from the Japanese Patent Office in  
counterpart foreign applications not more than three months prior to the filing of said  
Information Disclosure Statement.

Respectfully submitted,



Brian K. Shelton  
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